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1 **Phthalates affect the *in vitro* expansion of human hematopoietic stem cell**

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Abstract

Phthalates are esters of phthalic acid used industrially as plastic additives, however, these are not covalently bound to the polymer matrix and therefore can be released to the environment. The aim of this study was to evaluate the effect of four phthalates: dibutyl phthalate (DBP), benzyl butyl phthalate (BBP), diethyl phthalate (DEP) and diethylhexyl phthalate (DEHP) on the *in vitro* expansion of human hematopoietic cells from umbilical cordon blood. For this, 0.5×10^6 cells/mL were exposure to concentrations ranging from 0.1 to 100 $\mu\text{g/mL}$ and the total cell expansion was determined after 14 days of culture in IMDM-cytokines medium. The control cultures attained $1.31 \pm 0.21 \times 10^6$ cell/mL, whereas the cultures exposed to DBP, BBP and DEHP showed a reduction from 23 to 81%, 17 to 69% and 15 to 93.5%, respectively. DEP did not affect the total cell expansion. The most significant decrease on total cell expansion was observed at 0.1 $\mu\text{g/mL}$ DBP, 100 $\mu\text{g/mL}$ BBP and 10 $\mu\text{g/mL}$ DEHP ($p < 0.05$). Additionally, the effect of these compounds on the expansion of hematopoietic progenitors was analyzed by clonogenic assays as colony forming units (CFU). The CFU decreased considerably compared with respect to the control cultures. The reduction was 74.6 and 99.1% at 10 and 100 $\mu\text{g/mL}$ DBP respectively, whereas 100 $\mu\text{g/mL}$ BBP and 100 $\mu\text{g/mL}$ DEHP reduced the CFU expansion in 97.1% and 81%, respectively. Cultures exposed to DEP did not show significant differences. The results demonstrate the toxicity of DBP, BBP and DEHP on the human hematopoietic stem cells.

KEYWORDS: phthalates; toxicity; hematopoietic stem cells; hematopoietic progenitors

50 **Introduction**

51 Phthalate esters, also called phthalates, are a group of synthetic, liquid, colorless, viscous and lipophilic
52 chemical compounds. These compounds are used as plasticizer additives to provide flexibility to the finished
53 plastic product or as a vehicle for coloring, gloss or fragrance. Dibutyl phthalate (DBP) is used as a
54 component of latex adhesives. It is also used in cosmetics and other personal care products as a plasticizer in
55 cellulose plastics, and as a solvent for dyes (Thomas et al. 1984). Benzyl butyl phthalate (BBP) is most
56 commonly found in vinyl products including flooring, paints, adhesives, children's toys, food packaging, etc.
57 (Braun et al. 2013). Phthalates, such as diethylhexyl phthalate (DEHP) and diethyl phthalate (DEP), are some
58 of the most widely used and can be found in a wide variety of products such as tablecloths, curtains shower,
59 etc. (Fig. 1) (Latini 2005; Shaz et al. 2011). It has been reported that in some cases phthalates can represent up
60 to 40% of a finished product for direct use by the consumer (Singh et al. 1972). Specifically in the area of
61 health, some medical materials have been analyzed and 20-40% of phthalates have been found in them
62 (Kostić et al. 2016). When used as plasticizers these additives do not chemically bond to the polymers of the
63 plastic and therefore can be released, migrate and evaporate to the environment around them. These
64 contaminants have been found in food, air, soil, water and sediments. Therefore, humans are in contact with
65 them through different exposure routes. For example, oral, medical, dermal and inhalation exposure is very
66 common for high molecular weight phthalates such as BBP or DEHP. For the DEP, because it is of low
67 molecular weight, the main routes of exposure are dermal and inhalation since it is used mainly as a solvent
68 and vehicle for fragrances and ingredients for cosmetics, instead of as a plasticizer (Api 2001; Kavlock et al.
69 2002; Latini 2005; Meeker et al. 2009; Staples et al. 1997). This explains why in humans, phthalates have
70 been found in urine, blood, sweat, breast milk, saliva, amniotic fluid, and umbilical cord blood (Genuis et al.
71 2012; Hays et al. 2011; Latini et al. 2003; Main et al. 2006; Tranfo et al. 2014). It is of particular interest to
72 know what effect phthalates exert on cell viability when interacting with umbilical cord blood that is used as a
73 source of stem cells (Cairo and Wagner 1997). Hematopoietic stem cells extracted from umbilical cord blood
74 have shown advantages over hematopoietic stem cells from bone marrow or mobilized peripheral blood,
75 because invasive techniques are not used to obtain them and because they exhibit a greater potential for
76 proliferation and expansion (Andrade et al. 2015).

77 Since phthalates can cause cell damage and death and are present in blood storage bags, it is of clinical
78 importance to study the effect of them on the total cell population of umbilical cord blood as it is used for
79 stem cell transplants as a treatment for recurrent malignant hematological tumors, bone marrow failure
80 syndromes, severe congenital immunodeficiency states and some metabolic alterations.

81

82 **Material and methods**

83

84 **Reagents**

85 DBP, BBP, DEHP and DEP were purchased from Sigma. MethoCult GF (H4434) was obtained from
86 StemCell Technologies, Inc.

87

88 **Cell culture and exposure conditions**

89 The umbilical cord blood was centrifuged at 450xg for 15 min at 25°C. Subsequently, the white globular
90 bundle was recovered and diluted with PBS pH 7.2. This cell suspension was placed with 7 mL of Ficoll-
91 Paque Plus reagent (Pharmacia) and centrifuged at 550xg for 15 min at 25°C. The white cell pack was
92 collected and washed with PBS and centrifuged at 800xg for 20 min at 25°C. Isolated mononuclear cells were
93 resuspended in Iscove modified Dulbecco culture medium (IMDM, Sigma, St. Louis, MO, USA) and 10%
94 Bovine Fetal Serum (SFB, Gibco Grand Island, NY, USA). Cells were grown in 24-well plates, inoculating
95 0.5×10^6 cells/mL in culture medium (IMDM, Sigma) with 10% FBS, 0.1 mg/mL streptomycin, 100 U/mL
96 penicillin and 0.25 µg/mL of amphotericin B (Sigma). The following cytokines (IMDMcit) were added to the
97 base medium: 5 ng/mL Interleukin-3 (IL-3), 12.5 ng/mL Interleukin-6 (IL-6), 5 ng/mL Seminal cell factor
98 (SCF), 5 ng/mL of FLt-3 receptor ligand (Flt-3-L) (Peprotech, Rocky Hill, NJ, USA), 10 ng/mL of
99 Granulocyte Colony Stimulating Factor (G-CSF) (FILATIL®), 10 ng/mL of Granulocyte and Macrophage
100 Colony Stimulation Factor (GM-CSF) (GRAMAL®) and 3 U/mL of Erythropoietin (Epo) (BIOYETIN®)
101 (Probiomed, Mexico City, Mexico). The plates were placed in an incubator at 37 °C with a 5% CO₂
102 atmosphere. Later on day 5, half of the cell suspension was replaced by new IMDMcit medium (400xg for 15
103 min at 25 °C) modified from (De León et al. 1998; Mayani et al. 1998). The cultures were exposed to
104 different concentrations of phthalates (DBP, BBP, DEHP and DEP) for 14 days and a condition without

105 compound (control) was placed. The number of total cells was determined by the trypan blue exclusion
106 method using a hemacytometer (Louis and Siegel 2011; Phelan and May 2007).

107 **Determination of hematopoietic progenitors**

108 10,000 mononuclear cells were inoculated in 1 mL of medium (MethoCult® GF H4434 classic), (StemCell
109 Technologies, Inc. Vancouver British Columbia, Canada) this medium contains the following cytokines: 50
110 ng of SCF, 10 ng of IL-3, 10 ng of CSF-GM and 3 ng of Epo. The cell suspension was transferred to a 35 mm
111 Petri dish. The plates were incubated for 14 days at 37 °C with a 5% CO₂ atmosphere. The colonies identified
112 and quantified by means of the clonogenic assay were named as: erythroid colony forming units (UFC-E),
113 erythroid burst forming units (UFB-E), granulocyte forming units (UFC-G), forming units of monocytes
114 (UFC-M), granulocyte and monocyte forming units (UFC-GM) or multipotent forming units (UFC-GEMM)
115 (Andrade-Zaldívar et al. 2014; De León et al. 1998).

116

117 **Statistical analysis**

118 All data are presented as the mean ± standard error (S.E.). Statistical significance was determined by One-way
119 ANOVA ($p < 0.05$) and post hoc analysis by Dunnett`s.

120

121 **Results**

122 **Representative kinetics of cell expansion of DEHP treatments**

123 Fig. 2 shows a representative kinetics of cell expansion of human mononuclear cells from cultures exposed
124 from 0.1 to 100 µg/mL of DEHP and the control culture during 14 days of incubation. It was found that for
125 the 4 treatments the lag phase was 4 days. The control presented the exponential phase on day 5, the
126 stationary phase on day 9 and on day 12 the decay phase. In cultures exposed to 0.1 µg/mL DEHP the
127 exponential phase was presented on day 5, starting on day 10 the slope began to decrease, observing a
128 significant difference on cell viability at day 11. The cultures treated with 1 and 10 µg/mL DEHP presented a
129 similar behavior; the exponential phase was on day 5 and the decay phase on day 11; a significant difference
130 was observed on cell viability with respect to control from days 8 and 9, respectively. In cultures exposed to
131 100 µg/mL DEHP, a significant decrease in cell viability was observed after day 6.

132

133 **Effect of phthalates on the *in vitro* expansion of hematopoietic cells**

134 Hematopoietic cells isolated from umbilical cord blood were exposed to different concentrations of
135 phthalates: DBP, BBP, DEHP and DEP ranging from 0.1 to 100 µg/mL. The samples were compared with
136 respect to the control, which obtained a maximum cell expansion of $1.31 \times 10^6 \pm 2.1 \times 10^5$ cell/mL. All DBP
137 concentration used were statistically significant and showed a reduction in cell expansion ($p < 0.05$) (Fig. 3A).
138 For the cultures exposed to concentrations of 0.1, 1, 10 and 100 µg/mL of DBP, a maximum cell expansion of
139 76.8, 73.2, 62.3 and 19.3% respectively, was obtained (Fig. 3A). In the case of BBP concentrations of 0.1, 1
140 and 10 µg/mL, did not show a significant reduction with respect to the control. A maximum cell expansion of
141 83.2, 83.7 and 83.2% respectively was obtained. Nevertheless, at 100 µg/mL BBP a significant reduction was
142 observed ($p < 0.05$), obtaining only 31.7% of cell expansion (Fig. 3B). On the other hand, cultures exposed to
143 0.1 and 1 µg/mL DEHP did not showed a significant reduction, but at 10 and 100 µg/mL DEHP a significant
144 reduction was observed ($p < 0.05$), showing a maximum cell expansion of 59.3 and 6.5% respectively (Fig.
145 3C). Cultures exposed to 0.1, 1, 10 and 100 µg/mL of DEP did not show a significant difference in maximum
146 cell expansion with respect to the control (Fig. 3D). These data demonstrate that DBP is the most toxic
147 phthalate due to at lower concentration a significant reduction is observed. But at higher concentration (100
148 µg/mL) DEHP presents the highest toxicity, since it showed a reduction of 93.5%.

149 **Effect of phthalates on hematopoietic progenitor cells of umbilical cord blood**

150 The hematopoietic progenitor cells present in umbilical cord blood MNCs exposed to different concentrations
151 of DBP, BBP, DEHP and DEP (0.01 to 100 µg/mL) were evaluated by means of the Colony Forming Unit
152 (CFU) assay. Cells exposed to 10 and 100 µg/mL DBP showed a significant reduction of the amount of CFU,
153 where 25.4 and 0.9% of CFU were obtained ($p < 0.05$) (Fig. 4A). In the case of BBP, only cells exposed to 100
154 µg/mL BBP showed a significant reduction ($p < 0.05$), the amount of CFU obtained was 2.9% (Fig.4B). On the
155 other hand, treatments with 100 µg/mL of DEHP showed a significant decrease of hematopoietic progenitors
156 of 81% compared to the controls ($p < 0.05$) (Fig. 4C). This indicates that DEHP also negatively affected the
157 expansion of hematopoietic progenitors. Interestingly, the CFUs present in treatments of 0.1 to 100 µg/mL of
158 DEP did not show a significant decrease with respect to the control (Fig. 4D). According to these results it is
159 deduced that DBP presents greater toxicity than the rest of the phthalates (BBP, DEHP and DEP) since at the
160 concentration of 10 µg/mL the number of progenitors was significantly reduced.

161

162 **Discussion**

163 **Effect of phthalates on hematopoietic cells of umbilical cord blood**

164 In the present study, the cytotoxic effect of phthalates DBP, BBP and DEHP on human hematopoietic cells
165 was demonstrated. Andersen in 1999 reported the disruptive effect of DBP and BBP, since they found that
166 these compounds have affinity to the estrogen receptor, in addition to inducing the proliferation of MCF-1
167 cells (Andersen et al. 1999). Jones et al., 1975 found that different phthalates (including DBP) have toxicity
168 against the WI-38 cell line, which is derived from fibroblasts of human lung tissue. (Krüger et al. 2012)
169 showed that both DBP and BBP are toxic at concentrations of 13.9 µg and 15.6 µg respectively for the B4G12
170 cell line which is derived from corneal endothelial cells (Manz et al. 2014) demonstrated that DEHP is toxic
171 to promyelocytic leukemia cells at concentrations of 100 µg/mL, however, at 10 µg/mL no toxic effects were
172 observed. These agree with the results obtained in the present work, given that at concentrations of 100
173 µg/mL DBP, BBP and DEHP had the highest toxicity (Figure 3a-c); where the DBP turned out to be the most
174 toxic between these compounds tested; since as seen in figure 3a, all DBP concentrations evaluated showed a
175 significant decrease in the number of cells with respect to the control, however, in the case of BBP, DEHP
176 and DEP at lower concentrations, most of them did not present toxicity for the MNC's. Nevertheless, the
177 possibility that these cells undergo some type of modification is not ruled out since the endocrine disruptors
178 can produce a range of effects similar to those that estrogen would produce naturally on different
179 hematopoietic strains. A previous study reported that hematopoietic stem progenitor cells contain the
180 receptors for follicle-stimulating hormone, luteinizing hormone, prolactin, androgens, β-estrogen and
181 progesterone capable of stimulating hematopoiesis (Mierzejewska et al., 2015). Therefore, phthalates may be
182 exerting their action through these nuclear receptors.

183

184 **Clonogenic assays of DBP, BBP, DEHP and DEP**

185 In this study we used the colony forming unit (CFU) method, due to , is a method frequently used in clinical
186 therapy laboratories to measure the content of progenitor cells in bone marrow, peripherally blood and
187 umbilical cord blood sample and allows to evaluate the functional integrity of the cells after handling (volume

188 reduction, erythrocyte removal, cryopreservation and thawing) (Pereira et al. 2007; Sarma et al. 2010;
189 Wognum et al. 2013).

190 As observed in figure 4 the concentration of 0.1 µg/mL for all tested compounds did not show adverse effect.
191 However, many studies confirm that the variation in the levels of estrogen or endocrine disruptors can induce
192 effects such as activation in the expression of different cytokines in the different hematopoietic lineages,
193 (Chighizola and Meroni 2012; Kovats 2015; Liu et al. 2014). For example, Liu et al demonstrated that
194 macrophages exposed to concentrations of 22 µg/mL of the endocrine disruptor bisphenol-A induce the
195 expression of IL-6 and TNF-α (Liu et al. 2014). On the contrary, at concentrations of 1, 10 and 100 µg/mL,
196 DBP, BBP and DEHP a decrease in the number of hematopoietic progenitors was observed (Figure 4a-c).
197 This is important since the presence of phthalates in blood and umbilical cord serum has been demonstrated
198 (Huang et al. 2014; Liu et al. 2014). The negative effect of phthalates on hematopoietic progenitors has been
199 reported in cultures initiated with CD34⁺ cells in the presence of DEHP for 72 h, where a significant reduction
200 of 57.4% was reported in the number of colonies formed compared to the control (Manz et al. 2014).

201

202 **Conclusions**

203 We demonstrated that the presence of DBP, BBP and DEHP phthalates affects the *in vitro* expansion of
204 human hematopoietic stem cells isolated from the umbilical cord blood, the DBP being the most cytotoxic of
205 the phthalates tested. Considering the widespread exposure to phthalates in the population, future molecular
206 studies will be necessary to understand the effect of phthalates on hematopoietic stem-cell transplantation.
207 Our findings are expected to open new research horizons to investigate the effects of the endocrine disruptors
208 on the transplantation of hematopoietic stem cells.

209

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216

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291

292 **Figure captions**

293 **Fig. 1** Structure of common phthalates

294 The structures of the phthalates: A) General structure of phthalates. B) Dibutyl phthalate (DBP) structure, C)
295 Benzyl butyl phthalate (BBP) structure, D) Bis (2-ethylhexyl) phthalate (DEHP) structure, E) Diethyl
296 phthalate (DEP) structure.

297

298 **Fig. 2** Kinetics of diethylhexyl phthalate (DEHP)

299 The graph show the curve of total cellular expansion during the incubation period of the human mononuclear
300 cells of umbilical cord blood exposed from 0.1 to 100 µg/mL of DEHP and the respective control culture. The
301 assays were started with 500,000 cells/mL. The assay was carried out in triplicate for the cultures exposed to
302 the different concentrations to be analyzed of phthalate and for the control culture. The statistical analysis
303 showed that, with respect to the control culture, on day 6 the cultures exposed to 100 µg/mL showed
304 significant differences, on the other hand, on day 8 the cultures exposed to 1 and 10 µg/mL showed a
305 significant difference and cells exposed to 0.1 µg/mL DEHP showed a significant difference at day 11.

306

307 **Fig. 3** Maximum cell expansion of hematopoietic cells exposed to DBP, BBP, DEHP and DEP

308 Different concentrations of DBP, BBP, DEHP and DEP (0.1-100 µg/mL) were tested for 14 days. The
309 cultures were started with 500,000 cells/mL. A) Cultures exposed to DBP showed a significant decrement at
310 lower concentrations of 0.1 µg/mL with respect to the controls ($p < 0.05$) $n = 3$. B) Cultures exposed to BBP
311 showed a significant decrement at 100 µg/mL with respect to the controls ($p < 0.05$) $n = 3$. C) Treatments of
312 DEHP at 10 and 100 µg/mL showed a significant decrement with respect to the control ($p < 0.05$; $p < 0.01$).
313 $n = 2$. D) Cultures exposed to DEP from 0.1 to 100 µg/mL did not show significant differences with respect to
314 the controls.

315

316 **Fig. 4** Clonogenic assays of DBP, BBP, DEHP and DEP

317 The graphs show the number of colony forming units (CFU) of the cultures exposed to DBP, BBP, DEHP and
318 DEP DEP and DEHP in concentrations of 0.1 to 100 µg/mL. Cultures were started with 10,000 cells/ml. A)

319 DBP treatments did show a significant decrement at 10 and 100 µg/mL. n=3 (p<0.05). B) Cultures exposed to
320 100 µg/mL BBP showed a significant decrement. n=3 (p<0.05). C) Treatments with DEHP showed a
321 significant decrement in the amount of CFUs exposed to 100 µg/mL compared to controls (p<0.05) n=2. D)
322 Treatments with DEP did not show any significant difference between treatments and controls.

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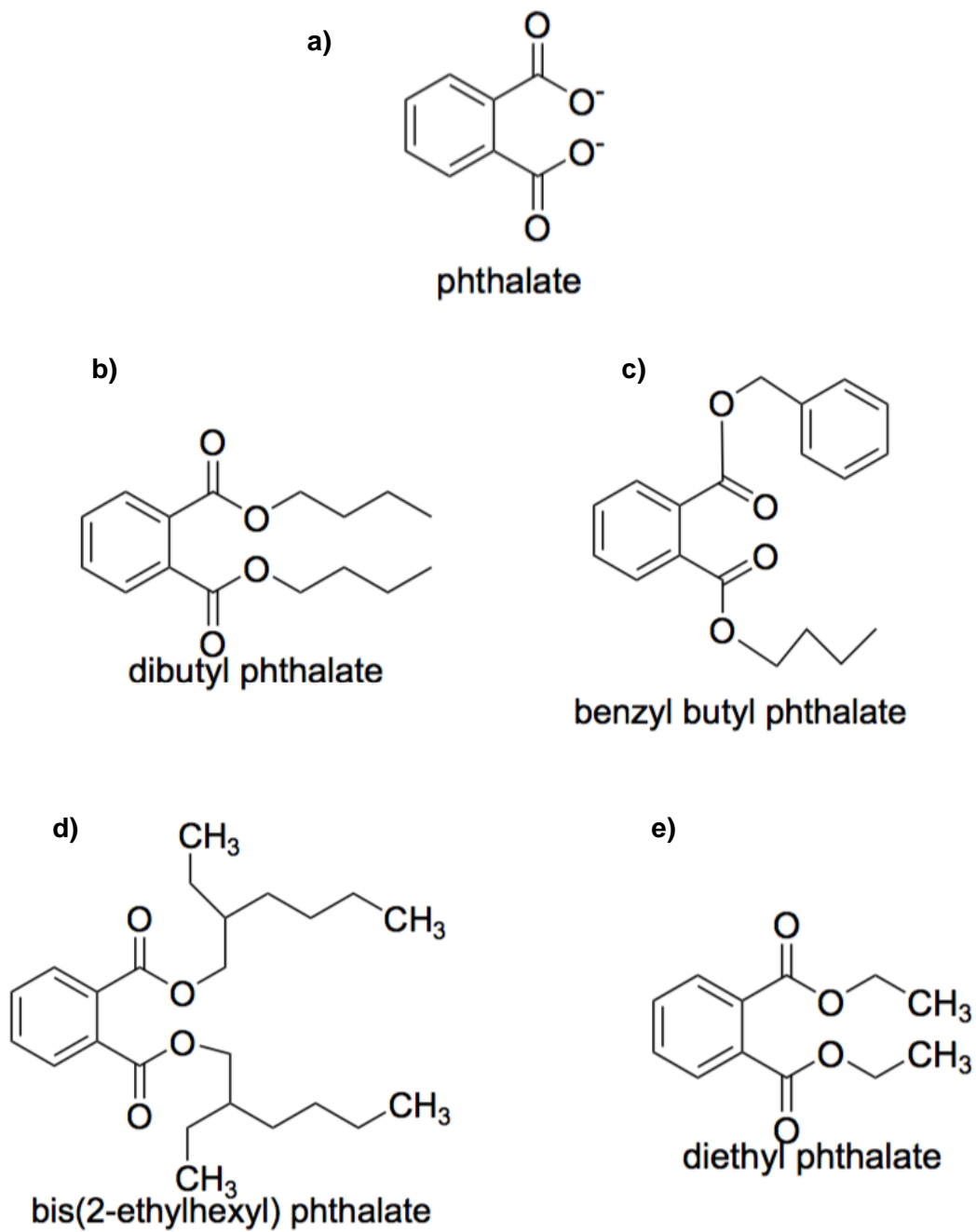


Fig. 1

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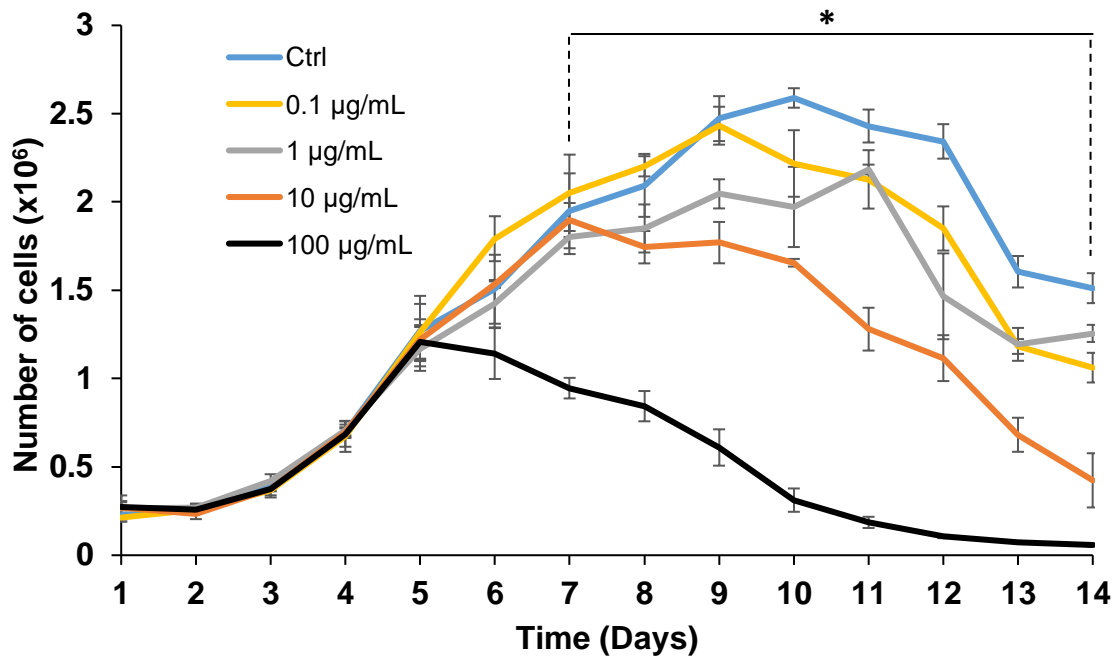


Fig.2

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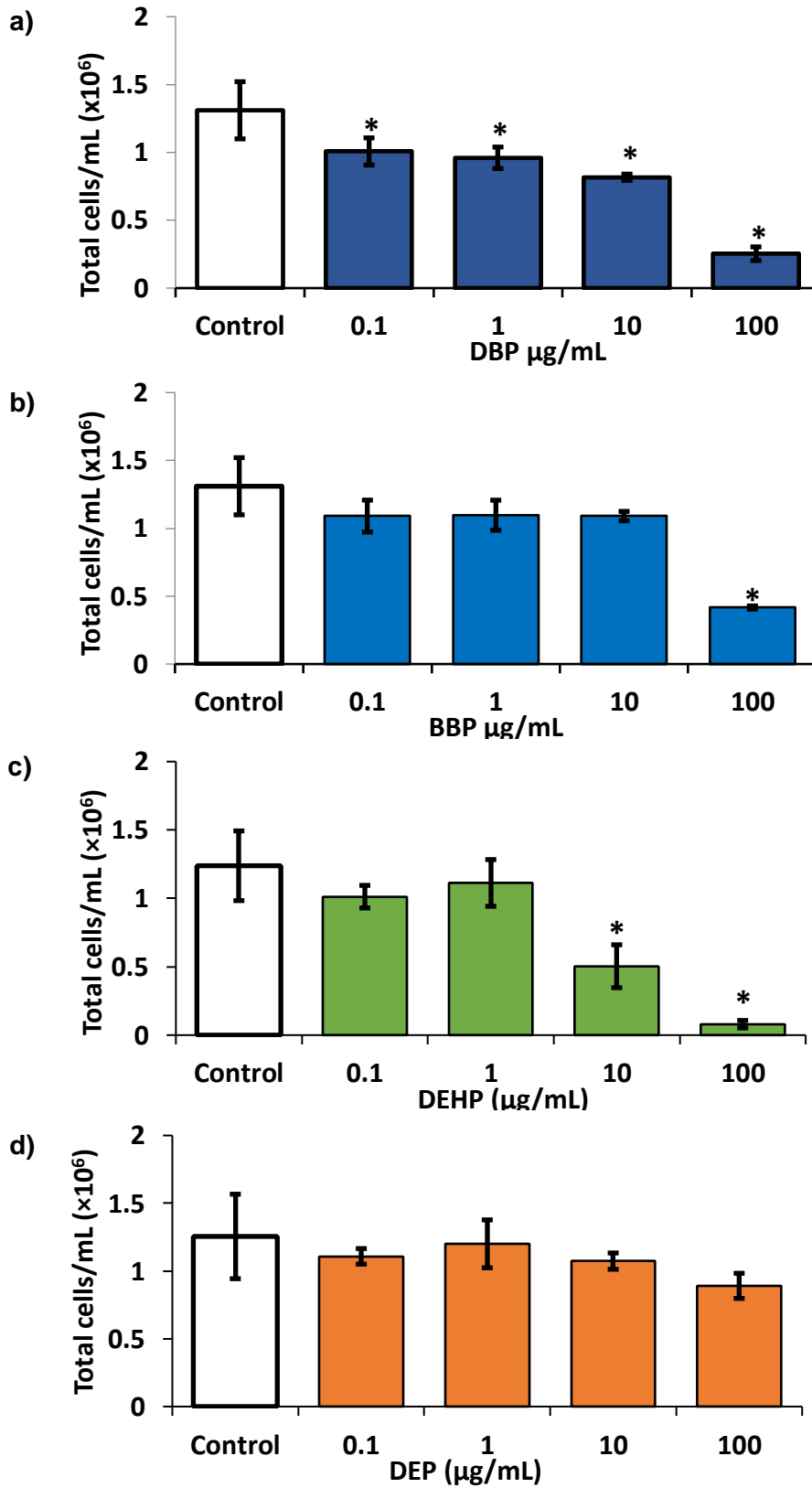


Fig. 3

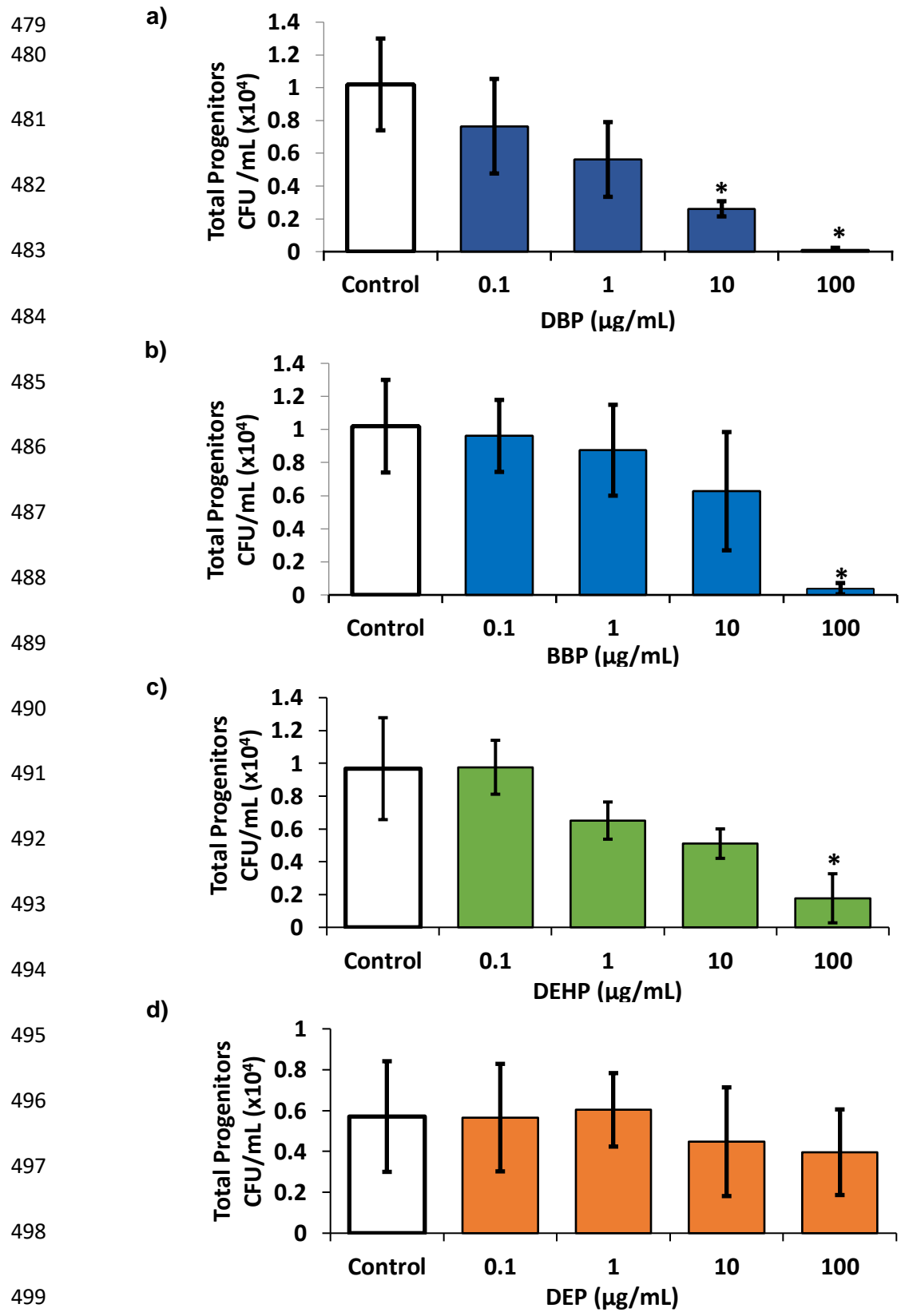


Fig. 4